(Use several sheets if necessary)

5,641,466

Docket Number (Optional) Application Number Applicant(s) Dillon, Anne C., et al. Filing Date Group Art Unit

				U.S. PATENT DOCUMENTS		# 2	
*EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE  IF APPROPRIATE
		6,159,538	12/12/2000	Rodriguez, et al.	427	213.31	06/15/1999
		6,232,706 B1	05/15/2001	Dai, et al.	313	309	11/12/1998
		6,221,330 B1	04/24/2001	Moy, et al.	423	447.3	08/04/1997
		6,231,980 B1	05/15/2001	Cohen, et al.	428	402	11/25/1997
		6,210,800 B1	04/03/2001	Nesper, et al.	428	367	12/17/1997
		6,187,823 B1	02/13/2001	Haddon, et al.	516	32	09/29/1999
		6,183,714 B1	02/06/2001	Smalley, et al.	423	447.3	07/26/1996
	•	5,916,642	06/29/1999	Chang	427	580	11/21/1996
		5,773,834	06/30/1998	Yamamoto, et al.	250	423 F	02/12/1997
		5,753,088	05/19/1998	Olk	204	173	02/18/1997

#### FOREIGN PATENT DOCUMENTS

REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Trans YES	lation NO
	WO 98 39250 A	03/06/1998	PCT				
	EP 1 061 040 A	12/06/2000	EPO .			·	
		·					

Ebbesen, et al.

06/24/1997

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

423

447.2

06/02/1994

A.G. Rinzler, et al., "Large-Scale Purification of Single-Wall Carbon Nanotubes: Process, Product, and Characterization," APPLIED PHYSICS A, 1998, 67,pages 29-37.

S. Bandow, et al., "Purification of Single-Wall Carbon Nanotubes by Microfiltration," J. PHYS. CHEM. B, 1997, 101, pages 8839-8842.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

Docket Number (Option 1)	Application Number
Applicant(s) Dillon, Anne C., et al.	
Filing Date	Group Art Unit

				U.S. PATEN	r documents	=			
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE	
		5,698,175	12/16/1997	Hiura, et a	l.	423	447.1	07/03/1995	
	ļ						<del> </del>		
			të:						
	<u> </u>			FOREIGN	PATENT DOCUMENTS				
	REF	DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	Translation YES	n NO
500									
	<del> </del>								
	+								
	-								
	<u> </u>			OTHER D	OCUMENTS (Includin	ng Author, Title,	Date, Pertinent	Pages, Etc.)	
		A. C. Dillon, et al., A C Vaporization," MATE	Comparison of S	ingle-Wall Ca	rbon Nanotube Produ	ction Using Co	ntinuous Wav	e and Pulsed La	ser
		Vaporization," MATE	CR. RES. SOC. (	CONF PROC	, 1998, voi. 526, pages '	403-406.			
		A. Thess, et al.,"Crysta	alline Ropes of N	Metallic Carb	on Nanotubes," SCIE	NCE, 1996, vol	. 273, pages 4	83-487.	-
				Ī	DATE CONSIDERED				
EXAMIN	EK								

Form PTO-A820 (also form PTO-1449)

not considered. Include copy of this form with next communication to applicant.

P09A/REV04

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and

Patent and Trademark Office \* U.S. DEPARTMENT OF COMMERCE

(Use several sheets if necessary)

Docket Number (Optional)	Application Number
Applicant(s) Dillon, Anne C., et al.	
Filing Date	Group Art Unit

EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE  IF APPROPRIATI
						·	
							· .
3							
	•						
				FOREIGN PATENT DOCUMENT	rs		
	REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	Translation
							YES N
		Shelimov K B et al 1	Purification of	OTHER DOCUMENTS (Includ Single-Wall Carbon Nanotubes by 282, pages 429-434.	ing Author, Title, I	Date, Pertinent Po Assisted Filtrati	ion," Chemical

Yanagi H, et al., "Self-Orientation of Short Single-Walled Carbon Nanotubes Deposited on Graphite," Applied Physics Letters, 5 March 2001, A, vol. 78, no. 10, pages 1355-1357.

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

Docket Number (Optionet)	Application Number
Applicant(s) Dillon, Anne C., et al.	
Filing Date	Group Art Unit

118	PATENT	DUKLU	MENIS

				U.S. TATERT BOCOME.		T	
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE  IF APPROPRIATE
<u> </u>							
			·				
	Т		!	FOREIGN PATENT DOCUMENTS			
				<del></del>		1	1

						Translation		
	REF	DOCUMENT NUMBER	DATE	COUNTRY	CLASS S	SUBCLASS	YES	NO
				,				
					<del> </del>			
						!		
	<u> </u>							
				,				

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Database WPI, Section Ch, Week 199437, Derwent Publications Ltd., London, GB; Class E36, AN 1994-300284, XP002148443 & JP 06 228824 A (Nec Corp).

Database WPI, Section Ch, Week 200107, Derwent Publications Ltd., London, GB; Class E36, AN 2000-342463, XP002170206 & KR 2000 023 053 A (Futaba Denshi Kogyo KK).

**EXAMINER** 

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

Docket Number (Option	Application Number
Applicant(s) Dillon, Anne C., et al.	
Filing Date	Group Art Unit

115	PATENT	: DOCU	MEN 15

				U.S. PATENT DOCUMENTS			
EXAMINER INITIAL	REF	DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIAT
		·					
	<u></u>		<u> </u>	FOREIGN PATENT DOCUMENTS	· · · · · · · · · · · · · · · · · · ·		
	Τ	DOCUMENT NUMBER	DATE	COUNTRY	CLASS SUBC	SUBCLASS	Translation
REF	REF						YES
	1	1	1				1

OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)

Dujardin E. et al., "Purification of Single-Shell Nanotubes," Advanced Materials, vol. 10, no. 8, 2 June 1998, pages 611-613

Database WPI, Section Ch, Week 199829, Derwent Publications Ltd., London, GB; Class E36, AN 1998-328251, XP002170207 & JP 10 120409 A (Taji K).

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

(Use several sheets if necessary)

Docket Number (Option)	Application Number		
Applicant(s)			
Dillon, Anne C., et al.			
Filing Date	Group Art Unit		

					U.S. PATE	NT DOCUMENTS				
*EXAMINER INITIAL	REF		DOCUMENT NUMBER	DATE		NAME	CLASS	SUBCLASS	FILING DATE  IF APPROPRIATE	
		<u> </u>	·							
	ļ									
										<del></del>
	-l	<u>.</u>			FOREIGN	PATENT DOCUMENTS	S .			
	REF		DOCUMENT NUMBER	DATE		COUNTRY	CLASS	SUBCLASS	Translation YES NO	
	<del>                                     </del>	+								
	-	+-	·		<u> </u>					
	<u> </u>									
		-						<u> </u>		
					<u> </u>					
			IDillan A. C. et al. 11Sto	rage of Hydroge	OTHER D	OCUMENTS (Includia	ng Author, Title, D thes." Nature. v	ol. 386, 27 Ma	rch 1997,	pages
·			Dillon A. C., et al., "Sto 377-379.	inge of Hydroge	. III omgic		-,, ·	·		
		. ·	Dillon A. C., et al., "A S November 1999, pages	Simple and Com 1354-1358.	plete Purifi	cation of Single-Walled	l Carbon Nanotu	be Materials,'	' vol. 11, n	o. 16, 10
EXAMIN	ER		<u> </u>			DATE CONSIDERED				

EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP Section 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.